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# Annual Review of Pathology: Mechanisms of Disease Molecular Monitoring of Lymphomas

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#### **Keywords**

lymphoma, circulating tumor DNA, measurable residual disease, response assessment, next generation sequencing

#### Abstract

Molecular monitoring of tumor-derived alterations has an established role in the surveillance of leukemias, and emerging nucleic acid sequencing technologies are likely to similarly transform the clinical management of lymphomas. Lymphomas are well suited for molecular surveillance due to relatively high cell-free DNA and circulating tumor DNA concentrations, high somatic mutational burden, and the existence of stereotyped variants enabling focused interrogation of recurrently altered regions. Here, we review the clinical scenarios and key technologies applicable for the molecular monitoring of lymphomas, summarizing current evidence in the literature regarding molecular subtyping and classification, evaluation of treatment response, the surveillance of active cellular therapies, and emerging clinical trial strategies.

#### **INTRODUCTION**

Sensitive monitoring has transformed the clinical care of hematologic malignancies, with flow cytometry and polymerase chain reaction (PCR)-based techniques in routine use for response assessment and measurable residual disease (MRD) detection in leukemias and plasma cell dyscrasias (1, 2). The application of such approaches in lymphomas has been limited by low circulating cellular disease burden in most subtypes (3). However, the combination of improved molecular and informatic workflows with lower sequencing costs has now enabled sensitive and specific molecular monitoring of lymphomas via cell-free nucleic acids.

Cellular turnover throughout the body is accompanied by the release of short (<200 bp), largely double-stranded DNA fragments termed cell-free DNA (cfDNA) into the peripheral blood (4). While most cfDNA molecules are of hematopoietic origin, in patients with cancer the increased proliferative rate, apoptosis, and necrosis associated with malignancy are accompanied by the release of cancer-specific circulating tumor DNA (ctDNA) (5). While total cfDNA concentrations are variable and dynamic, lymphoma patients typically have elevated total cfDNA levels as compared with healthy adults (6). Although detection of tumor-derived variants in plasma via PCR was demonstrated in the 1990s, the development of accurate genotyping and monitoring has required ultradeep sequencing depths and error correction approaches addressing molecular biology, sequencing, and bioinformatic sources of noise (7).

Several excellent recent review articles address cfDNA assays across cancers (8, 9). In this article, we focus on clinical scenarios and tumor biology informing specific strategies for molecular monitoring of lymphomas, considering clinical data across lymphoma subtypes and assessing opportunities for clinical deployment and technical development of these promising assays.

#### CLINICAL SCENARIOS FOR MOLECULAR MONITORING

The clinical management of lymphoma patients presents multiple opportunities for molecular assessment from diagnosis through treatment and surveillance (Figure 1). In this section we review established and emerging applications of molecular techniques throughout the clinical course.



#### Figure 1

Schematic of the clinical time course of representative lymphoma patients, with clinical time points annotated with potential opportunities for molecular assessment. During surveillance time points, molecular assessment may be negative due to either cure or residual disease present below the assay limit of detection. Abbreviation: ctDNA, circulating tumor DNA.

## Pretreatment Genotyping and Classification of Molecular Subtypes

Large-scale tumor sequencing has revealed that the clinical heterogeneity of lymphomas is mirrored by a diversity of genomic alterations (10–12). In addition to the established prognostic significance of cell of origin (COO) and *MYC* gene translocations in diffuse large B cell lymphoma (DLBCL), the risk contribution of mutations in individual genes such as *TP53* has been defined in several subtypes (13). More recently, two novel molecular classifications in DLBCL have systematized co-occurring pathogenic alterations into genomic subgroups with distinct prognostic implications (11, 12). Beyond risk stratification, these proposed subtypes are likely to spark trials of genome-directed therapeutic approaches such as combined enhancer of zeste homologue 2 (EZH2)/B cell lymphoma 2 (BCL2) inhibition for EZB subtype tumors (14).

While these classifications were developed via tumor biopsies, noninvasive genotyping has a high concordance with paired biopsy for both single-nucleotide variants (SNVs) and chromosomal translocations, with highest accuracy in patients with total ctDNA levels exceeding 5 haploid genome equivalents (hGE)/mL (15). Accordingly, an analysis of cfDNA-based classification in DLBCL demonstrated a similar distribution of molecular subtypes to the original cohorts (16).

Importantly, lymphomas demonstrate spatial heterogeneity both within primary tumors and across disease sites (17, 18). Clonal selection and evolution over time and under therapeutic pressure can result in additional clinically relevant heterogeneity (19). A single biopsy may therefore not reflect the full range of disease biology including targetable alterations. As cfDNA comprises molecules shed from all disease sites, noninvasive genotyping could provide a more accurate depiction of relevant mutations (15). Moreover, biopsy may not be possible in anatomically difficult sites, central nervous system (CNS) lymphoma, or radiographically occult disease (20, 21). While excisional biopsy remains the gold standard, liquid biopsy methods are likely to assume an increasingly important role in genotyping at diagnosis and relapse.

## **Clinical Risk Stratification**

Pretreatment ctDNA levels in diverse lymphomas have been shown to correlate with clinical measures of disease burden including stage, total metabolic tumor volume (MTV) on body imaging, serum lactate dehydrogenase levels, and risk scores such as the International Prognostic Index (IPI) (22–24). Beyond correlation, ctDNA levels at baseline appear to be independently prognostic of event-free survival (EFS) and overall survival (OS) in DLBCL and follicular lymphoma (FL) (24, 25). More recently, pretreatment ctDNA methylation profile has been examined as a predictor of treatment failure after frontline chemoimmunotherapy (26). ctDNA may therefore complement established clinical factors in upfront risk assessment.

## **Response Assessment and Measurable Residual Disease Detection**

Molecular assessment of treatment response typically incorporates both kinetics (frequently expressed as log reduction in concentration) and absolute posttreatment level or nondetection. In DLBCL, where patients are treated with curative intent, the clinical need for such an approach is underscored by frequent false positives in mid- and posttreatment positron emission tomography (PET) and computed tomography (CT) scans (27). Likewise, in Hodgkin lymphomas (HLs), response-adapted therapy via interim PET/CT imaging could be expanded to incorporate ctDNA dynamics, with emerging evidence associating detectable MRD in patients with incomplete radiographic response (28).

#### **Evaluation of Emergent Mechanisms of Resistance**

For patients on long-term therapy including oral targeted agents, detection of emerging resistant subclonal populations could be of clinical utility. Recurrent resistance mutations such as Bruton tyrosine kinase (*BTK*) C481S associated with ibrutinib failure may be detectable via ctDNA surveillance, and two emergent subclones bearing independent *BTK* C481S variants were observed in cfDNA from a patient with relapsed/refractory (R/R) FL receiving ibrutinib (15, 29). This approach is likely applicable to other stereotyped resistance mechanisms including *CD19* alterations in patients receiving chimeric antigen receptor T cell (CAR-T) therapy (30).

#### Assessment for Transformation

Morbidity in indolent lymphoma patients often occurs via histologic transformation to a more aggressive entity. Several studies comparing transformed FL with its indolent precursor suggest that transformation is accompanied by the acquisition of new genetic alterations (15, 31). However, although emergent variants are detectable via ctDNA, the specificity of any individual lesion for transformation is hindered by substantial overlap with the mutational landscape of the underlying disease (15). Potential strategies to increase specificity in distinguishing transformed lymphomas include consideration of degree of clonal divergence, elevated or increasing ctDNA levels, and emergent epigenetic changes such as distinctive ctDNA methylation and fragmentation profiles (16, 32).

#### Early Diagnosis and Evaluation of Premalignant Lesions

While cfDNA has been extensively studied in the context of established lymphoma diagnoses, premalignant or subclinical lymphoid lesions are less well characterized. Clonal disorders including monoclonal gammopathy of undetermined significance and monoclonal B cell lymphocytosis are common in healthy older adults. Likewise, the characteristic genomic lesion of FL, t(14;18)(q32;q21), is detectable in most healthy older adults with incidence increasing with age (33). Such precursor populations bear a low but quantifiable risk of transformation to malignancy. Increasing levels of such premalignant clones, or the detection of emergent cooperating mutations, may be of utility in stratifying patients at risk of clinical malignancy.

## VARIANTS OF INTEREST IN THE MOLECULAR MONITORING OF LYMPHOMAS

Building on PCR assays targeting recurrent break-point regions and variants, sequencing-based techniques have enabled the detection of a broader range of genomic lesions (Figure 2). In this section, we review shared and unique variant classes relevant to the molecular monitoring of lymphomas.

#### **Somatic Mutations**

Somatically acquired SNVs and short insertions/deletions (indels) are of broad interest for genotyping and as tumor-specific reporters for disease monitoring. While prior studies have largely focused on coding alterations in the exome space, both coding and noncoding mutations can serve as high-specificity biomarkers to track lymphomas (34). The detection of each individual variant is limited by total evaluable nucleic acid molecules and the technical limits of the sequencing assay. Sensitive detection can therefore be facilitated by increasing DNA input, increasing the number of evaluated loci, or improving technical noise. One strategy to overcome technical noise is to focus on mutations observed on both strands of double-stranded DNA molecules (in *trans*), or so-called duplex support (35).



#### Figure 2

Overview of molecular variants of interest in the surveillance of lymphomas. The diagram depicts a lymphoma tumor shedding DNA, RNA, and circulating tumor cells into the peripheral blood. In virus-associated lymphomas, viral DNA may also be of diagnostic interest. The selection of molecular technique for a given lymphoma subtype is driven by the variant classes targeted and the required limit of detection for the application, with more sensitive techniques required for MRD. Abbreviations: IgH, immunoglobulin heavy chain; MRD, measurable residual disease; SHM, somatic hypermutation.

Not all somatic variants detected in cfDNA derive from the malignancy under molecular surveillance. Genes altered in age-related clonal hematopoiesis overlap broadly with lymphoid malignancies, and the risk of false positivity is particularly high in patients in clinical remission (36). Strategies for minimizing this issue include surveillance based on tumor-derived variants and sequencing of matched leukocytes, although the latter may be less reliable in lymphomas with a leukemic component (36).

#### **Chromosomal Translocations**

Recurrent pathogenic chromosomal translocations have been explored as molecular biomarkers in several B cell lymphoma subtypes. Mantle cell lymphoma (MCL) characteristically harbors the recurrent translocation t(11;14)(q13;q32), and 90% or more FL cases incur the t(14;18)(q32;q21)translocation between the *BCL2* gene and *IGH* loci (33, 37). In both diseases, detectable translocation by PCR-based methods after frontline therapy has been shown to be prognostic of inferior progression-free survival (PFS) (38, 39). While this review is primarily focused on sequencingbased techniques and cfDNA sources, a summary of critical earlier MRD-focused studies from the relevant subtypes is presented in **Table 1**.

However, even within each disease, such assays are limited by break-point heterogeneity across patients. For example, nearly half of all FL cases involve translocations outside of the major break-point and minor cluster regions covered by typical primer sets (40). Similarly, while  $\sim$ 50% of *CCND1* translocations in MCL occur within 100–200 bp of the major translocation cluster (MTC), the remainder of cases scatter across a nearly 300-kb genomic region surrounding the MTC (41). Broader strategies are therefore required for universal applicability.

## V(D)J Rearrangement and Somatic Hypermutation

While the COO varies across lymphoma subtypes, precursor lymphocytes have typically already undergone variable (V), diversity (D), and joining (J) gene rearrangements prior to lymphomagenesis. These rearranged regions serve as a molecular signature for clonal B or T cell populations

				Molecular	Evaluable		
Study	Year	Subtype	Molecular target	technique	patients	Analyte	DOI
Rambaldi et al.	2002	FL	BCL2/IgH	Nested PCR	128	PB, BM	10.1182/blood.V99.3.856
(147)							
Rambaldi et al.	2005	FL	BCL2/IgH	qPCR	86	BM	10.1182/blood-2004-06-
(39)							2490
Hirt et al. (148)	2008	FL	BCL2/IgH, IgH	qPCR	43	PB	10.1111/j.1365-2141.2008.
							07101.x
Goff et al. (149)	2009	FL	BCL2/IgH	qPCR	414	PB	10.1200/JCO.2009.22.6258
Morschhauser	2012	FL	BCL2/IgH	Nested PCR	39	PB, BM	10.1093/annonc/mds202
et al. (150)							
Ladetto et al.	2013	FL	BCL2/IgH	Nested PCR,	227	BM	10.1182/blood-2013-06-
(151)				qPCR			507319
Pott et al. (152)	2006	MCL	IgH	qPCR	29	PB, BM	10.1182/blood-2005-07-
							2845
Geisler et al.	2008	MCL	CCND1/IgH, IgH	Nested PCR	79	PB, BM	10.1182/blood-2008-03-
(153)							147025
Pott et al. (38)	2010	MCL	CCND1/IgH, IgH	qPCR	190	PB, BM	10.1182/blood-2009-06-
							230250
Cheminant	2016	MCL	IgH (92), CCND1/	Flow cytometry,	61	PB, BM	10.3324/haematol.2015.
et al. (154)			IgH (5), both (11)	qPCR			134957

Table 1 PCR-based studies in lymphoma measurable residual disease

Abbreviations: BM, bone marrow; FL, follicular lymphoma; MCL, mantle cell lymphoma; PB, peripheral blood; PCR, polymerase chain reaction; qPCR, quantitative polymerase chain reaction.

demonstrating concordance with diagnostic lymphoma biopsies (42). Associated clonal mutations are also incurred during affinity maturation and somatic hypermutation, generating a lymphomaspecific ctDNA biomarker (43).

#### Copy Number Variation and Loss of Heterozygosity

The recurrent loss or amplification of chromosomal regions has been described in diverse lymphoma subtypes. In addition to the clinically relevant amplification of 9p24 in HL and primary mediastinal B cell lymphomas (PMBCLs), characteristic alterations include COO-specific lesions such as trisomy 3 in activated B cell (ABC)-DLBCL and gains of 12q12 in germinal center B cell–like (GCB)-DLBCL (44, 45). Although such lesions involve larger genomic regions than any individual somatic variant, current sequencing methods for the detection of somatic copy number variants (CNVs) are generally considered less sensitive and specific than those used for small lesions such as SNVs and indels. Tumor-informed strategies leveraging genotypes from tissue biopsies increase specificity and may allow clinically useful noninvasive detection and response monitoring (46).

#### **Mutational Signatures**

Somatic mutations in cancers are incurred via exogenous (e.g., UV light, smoking-related toxins) and endogenous biochemical processes in stereotyped nucleotide contexts termed mutational signatures (47). The overall repertoire of somatic variants in a tumor or cfDNA specimen may be deconvolved to establish the relative contribution of different signatures, reflecting underlying disease biology. Specifically, in lymphoid malignancies the base substitution signatures and

genomic motifs associated with activation-induced cytidine deaminase (AID)-induced somatic hypermutation (e.g., SBS84/85) may clarify the clonal B cell origin of otherwise nonpathogenic variants (43, 47).

## **Epigenetic Markers**

Aberrant DNA cytosine methylation in lymphomas is associated with distinct malignant transcriptional phenotypes, with recurrently altered sites including the *CDKN2A* promoter associated with aggressive disease (48). Indeed, an emerging class of therapeutics including inhibitors of histone deacetylases, *EZH2*, and DNA methyltransferases targets epigenetic dysregulation in lymphoma, suggesting that methylation status may also be informative for clinical management (49). As such epigenetic programs involve dozens to hundreds of genes, consideration of methylation state may yield a greater number of altered molecules for a given noninvasive sample than mutations alone. Recent multicancer early detection efforts have focused on the noninvasive detection of distinctive 5mC marks for common solid tumors and may prove valuable for molecular monitoring of lymphomas (50).

## Viral Nucleic Acids

Several viral species have been associated with various lymphoma subtypes, including Epstein-Barr virus (EBV), human T cell leukemia virus type 1, human immunodeficiency virus (HIV), Kaposi sarcoma-associated herpesvirus/human herpesvirus 8, and hepatitis C virus (51). We focus primarily on EBV as the most well-studied circulating viral biomarker for lymphoma monitoring, typically via quantitative PCR (qPCR) techniques applied to whole blood or plasma (52).

## PREANALYTIC CONSIDERATIONS FOR MOLECULAR MONITORING Nucleic Acid Sources

Molecular monitoring assays in lymphoma have focused on circulating DNA from blood analytes including isolated peripheral blood mononuclear cells (PBMCs), serum, or plasma. Benefits of this approach include ease of integration into clinical laboratory workflows and the ability to noninvasively collect specimens at multiple time points. However, appropriate sample handling and choice of analyte are crucial (53).

When considering molecular disease burden, in blood collections from DLBCL patients where ctDNA was detectable in both leukocytes and plasma, the relative concentration in plasma has been reported as a median 150-fold higher (3). Even when accounting for lower total DNA levels in plasma specimens, the absolute level of tumor-derived DNA remained  $\sim$ 2.3-fold higher (3). Similarly, while serum specimens yield a higher total DNA concentration when compared with matched plasma, tumor-derived molecules comprise a lower relative concentration (3, 54). Plasma is therefore the preferred analyte for most monitoring strategies, although PBMCs may be complementary in lymphomas such as MCL with a substantial leukemic component.

Alternative nucleic acid sources under active exploration include cerebrospinal fluid (CSF) in primary or secondary CNS lymphoma. Given the inherent risk and technical challenges of brain biopsies, along with the difficulty of serial sampling, CSF represents an exciting opportunity for genotyping and monitoring. In a study of paired plasma and CSF from 12 patients with primary CNS malignancies, CSF was found to have both higher ctDNA concentration and a more accurate representation of tumor-derived variants than plasma specimens (55).

The extracellular nucleic acid fraction of plasma contains RNA as well as DNA. Although the fragmentation and relative instability of these molecules present a technical challenge, recent work has utilized this analyte in posttransplant and cancer detection applications (56, 57). In

DLBCL patients, the overexpression in cell-free mRNA of individual oncogenes including *MYC* and *BCL2* has been demonstrated by real-time quantitative monitoring of PCR reactions (RT-PCR) (58). Sequencing-based approaches present several potential advantages including the broader characterization of transcriptional alterations in unmutated tissue-specific genes (56). As the majority of cfRNA is of hematopoietic origin, strategies will be required to define the relative contribution of malignant versus normal lymphoid transcripts (56).

## Sample Acquisition and Storage

The low concentration and rapid systemic clearance of cfDNA requires careful sample collection and processing. Important considerations include choice of anticoagulant for PCR compatibility and prompt plasma extraction to avoid nucleated cell lysis or endonuclease-mediated cfDNA degradation (9). While standard K2 or K3 EDTA tubes maintain ctDNA yield for refrigerated samples processed within 4–6 h of collection, over longer periods such samples display a timedependent increase in DNA concentration resulting from lysis of nucleated leukocytes (9). Several commercial cfDNA collection tubes have been developed incorporating fixatives to prevent lysis for up to 7 days after collection at room temperature (59). Such dedicated products should be considered in multisite studies or other clinical situations where sample handling could be heterogenous (60).

# TECHNICAL APPROACHES TO MOLECULAR MONITORING OF LYMPHOMAS

Lymphoma-associated variants of interest may be assessed via several competing molecular biology approaches (**Table 2**). Here we review the relative benefits and drawbacks of established and emerging methods.

	Assessed genomic		Evaluable somatic	
Technique	space (bp)	Technical variants	lesions	Approximate LOD
PCR-based	$10^2 - 10^3$	Nested PCR	SNVs	$10^{-4} - 10^{-5}$
		Allele-specific	Translocations	
		Digital PCR		
Amplicon sequencing	10 <sup>3</sup> -10 <sup>4</sup>	Immunoglobulin	SNVs	$10^{-4} - 10^{-5}$
		sequencing	Indels	
			Translocations	
			Copy number variants	
Targeted capture	104-106	CAPP-Seq	SNVs	$10^{-5} - 10^{-6}$
sequencing		PhasED-Seq	Indels	
			Translocations	
			Copy number variants	
Low-pass WGS	Whole genome	Genome amplification	Copy number variants	$10^{-3} - 10^{-5}$
			Fragmentation profile	
Methylation profiling	Variable	Targeted capture	Methylation sites	$10^{-3} - 10^{-4}$
		MeDIP-Seq	Copy number variants	
		Low-pass WGS		

Table 2	Molecular	techniques	for lyn	nphoma	surveillance
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Abbreviations: CAPP-Seq, cancer personalized profiling by deep sequencing; indels, insertions/deletions; LOD, limit of detection; MeDIP-Seq, methylated DNA immunoprecipitation sequencing; PCR, polymerase chain reaction; PhasED-Seq, phased variant enrichment and detection sequencing; SNV, single-nucleotide variant; WGS, whole-genome sequencing.

#### Nonsequencing PCR-Based Approaches

The use of PCR-based diagnostics that do not require sequencing is well established in laboratory medicine and offers advantages including cost-effectiveness, clinical standardization, and characterized analytic performance. An important requirement for most approaches is genetic homogeneity allowing for the interrogation of small defined regions. In such scenarios, regions of interest can be resolved using end-point products of PCR in allele-specific oligonucleotide assays, RT-PCR, or droplet digital PCR (ddPCR). Recurrent chromosomal translocation break points and point mutations are particularly amenable to PCR-based assessment. Examples include the *MYD88* L265P hot-spot mutation occurring in 90% of Waldenström macroglobulinemia patients and more than 70% of primary central nervous system lymphomas (PCNSLs) and *XPO1* mutations in PMBCLs (61–63). However, the heterogenous mutational profile of most lymphomas has limited the applicability of single-locus PCR approaches. Separately, the presence of some of these lesions in healthy adults can limit their clinical specificity for molecular monitoring (33).

#### Immunoglobulin High-Throughput Sequencing

Immunoglobulin high-throughput sequencing (IgHTS) leverages clonotypic rearrangements of the IgH, IgL, or IgK loci as disease-specific markers. From a technical standpoint, rearrangements are identified in pretreatment tumor specimens through multiplex PCR of the V, D, and J regions followed by sequencing of the resulting amplicons. The dominant clonotype can then be tracked in blood or bone marrow samples to assess for MRD. Typically,  $\geq$ 500 ng of cellular DNA are utilized for input, reflecting hundreds of thousands of genomes and allowing for an analytic limit of detection (LOD) of ~10<sup>-6</sup> after successful calibration (64). This impressive sensitivity has led to clinical approval in B-precursor acute lymphoblastic leukemia, chronic lymphocytic leukemia, and multiple myeloma (65–67).

While IgHTS can be applied to plasma, the practical sensitivity in this setting is much lower and is limited by DNA input mass typically  $\sim$ 1,000-fold lower than leukocyte-derived DNA from the same phlebotomy volume (3). Accordingly, assays tracking many independent variants rather than a single rearrangement are more sensitive with limited amounts of available DNA (3, 68).

#### **Amplicon Sequencing**

Another established approach involves sequencing of end-point PCR products (amplicons), with benefits including simplicity, efficient target enrichment, and easy personalization of targeted regions. However, amplicon size is limited by the parameters of the PCR reaction, and only a limited number of amplicons can be multiplexed in a single reaction without additional techniques such as droplet emulsions. This can be an effective approach in combination with tumor sequencing confirming patient-specific variants but is less suited for broad genotyping. Traditional amplicon workflows have also precluded error correction via unique molecular barcoding, although this deficit is addressed in contemporary approaches (69). Separately, amplicon approaches eliminate secondary characteristics of cfDNA molecules such as length and fragmentation pattern (16).

## **Targeted Capture Sequencing**

Genomic enrichment via hybrid capture with biotinylated DNA oligonucleotides offers several important advantages, including a broader genomic space up to megabases and the preservation of source molecules for unique barcoding and fragment-based analyses. A workflow based on hybrid capture enrichment followed by molecular barcoding and error correction, termed CAPP-Seq (cancer personalized profiling by deep sequencing), has been extensively evaluated in lymphoma subtypes including FL, DLBCL, and HL (3, 7, 15). In a workshop at the 15th International Conference on Malignant Lymphoma (15-ICML), an international working group selected CAPP-Seq as the preferred method due to the favorable balance of genomic breadth, sequencing depth, and error rate (70).

Recently, a related capture-based method called PhasED-Seq (phased variant enrichment and detection sequencing) has been developed leveraging the favorable error profile of clustered mutations to improve technical sensitivity (34). Rather than evaluating individual point mutations, PhasED-Seq tracks multiple SNVs located in close genomic proximity and segregating in *cis* on a given strand of cfDNA (phased variants). When such clusters occur within a single sequencing read, the technical error rate is lowered via joint conditional probability. For example, if the chance of a sequencing error at a given position is 1:1,000, then the theoretical chance of observing two such events at defined positions within the same read will be 1:1,000,000. This improved error rate has been demonstrated to allow more sensitive MRD detection in both lymphomas and solid cancers (34). The high mutation density in regions aberrantly targeted by AID makes GCB lymphomas especially suited to this method, as hundreds of phased variants can be detected with standardized capture panels, avoiding the need for personalized approaches.

#### Low-Pass Whole-Genome Sequencing

While some cancers are characterized by recurrently mutated genomic regions or high overall tumor mutational burden, other malignancies may carry few or uniquely distributed mutations. On the basis of this observation and the high frequency of CNVs and aneuploidies in diverse cancer types, several groups have proposed low-pass whole-genome sequencing (LP-WGS) as an approach for sensitive cancer detection. In plasma samples from patients with advanced and metastatic cancers with very high ctDNA burden, the ichorCNA technique has demonstrated concordance of clonal copy number alterations with paired tumor sequencing (71). LP-WGS has been primarily explored for CNV due to very low sensitivity for any given point variant. However, recent approaches assessing many thousands of patient-specific mutations at genome scale have achieved high analytic sensitivity (46).

#### **Epigenetic Techniques**

Several recent techniques have focused on the noninvasive evaluation of epigenetic alterations in cancer, targeting either methylated cfDNA or fragmentation patterns associated with transcriptional dysregulation. As traditional whole-genome bisulfite sequencing is associated with DNA loss during conversion, the low input mass of cfDNA presents a technical challenge. Utilizing targeted capture of differentially methylated sites, Liu et al. (50) assessed a pan-cancer detection approach that demonstrated sensitivity >75% in stage II–IV lymphoid malignancies. An alternative approach to enrichment of differentially methylated sites incorporates immunoprecipitation of cfDNA with anti-5mC monoclonal antibodies and has been evaluated for detection of several cancers (72).

Additionally, over the last several years, evidence has emerged that tissue-specific nucleosome configurations are imprinted in peripheral cfDNA fragmentation patterns. Specifically, regions with closed chromatin are better protected against intra- and extracellular endonucleases, while open chromatin regions are prone to degradation. Metrics developed to quantify this phenomenon include depth of sequencing [at the nucleosome-depleted region (NDR)] (73), disruption of nucleosome positioning (74), and increased fragment length diversity [using promoter fragmentation entropy (PFE)] at sites of open chromatin (16). As chromatin accessibility at transcription start sites (TSS) is associated with active gene expression, EPIC-Seq (epigenetic expression inference

from cfDNA sequencing) has recently been described as a novel hybrid capture method combining PFE at TSS regions with decreased sequencing coverage at the NDR to infer expression of individual genes from plasma sequencing (16). This approach has demonstrated utility for noninvasive cancer detection and classification of cancer subtypes in DLBCL, highlighting the potential of epigenetic features in liquid biopsies (16).

#### CLINICAL APPLICATIONS OF MOLECULAR TECHNIQUES BY LYMPHOMA SUBTYPE

#### Diffuse Large B Cell Lymphoma

The routine genomic characterization of DLBCL has found its way into many academic centers. Here we review clinical evidence for molecular strategies in the most common aggressive B cell lymphoma.

**Genotyping.** While genotyping does not yet impact routine clinical care, there is evidence that genetic properties bear prognostic and predictive information (11, 12, 75). Recent phase III randomized trials have attempted to guide therapy using molecular COO subtypes, frequently by selecting patients with ABC-DLBCL given their high clinical risk (76, 77). While no such study has yet achieved a significant clinical end point, there is emerging evidence that more granular subtyping may identify cases sensitive to targeted therapies such as ibrutinib (78).

While studies to date have utilized tumor tissue, molecular profiling from liquid biopsies could facilitate genotype-driven protocols, given the ease of clinical implementation and potentially better representation of tumor heterogeneity. Several studies have evaluated DLBCL genotyping from plasma ctDNA (15, 79–83). Sensitivity is a function of ctDNA levels and disease burden and is typically high in DLBCL. In 41 tumor–plasma pairs analyzed by CAPP-Seq, 91% of tumor-identified driver mutations could be recovered in plasma genotyping, with higher accuracy in advanced stage disease (15). Similarly, in a study analyzing 36 tumor–plasma pairs, the sensitivity of plasma genotyping was 83% compared with that of tumor genotyping (79).

Plasma genotyping can also infer both COO and novel genetic subtypes (15, 16). While prior studies have relied on mutations associated with ABC and GCB tumors to infer transcriptionally defined COO subtypes, it has more recently been demonstrated that EPIC-Seq analysis of cfDNA fragmentation patterns enables accurate COO subtyping based on inferred gene expression with high correlations to RNA and mutation-based methods (16).

**Pretreatment risk stratification.** Beyond genotyping, pretreatment ctDNA levels are prognostic of EFS, PFS, and OS (22, 24, 81, 83). Importantly, in several studies, including the largest study of 267 newly diagnosed DLBCL patients, independent prognostic significance was maintained when adjusting for the IPI (22). The latter study also demonstrated that a short interval between diagnosis and treatment was associated with higher pretreatment ctDNA levels, suggesting that ctDNA may be an objective measure of treatment urgency with additional utility to avoid selection biases in clinical trials (22).

**Response assessment and measurable residual disease.** Several techniques have been evaluated for MRD detection in DLBCL, including VDJ rearrangements (3, 60, 84), somatic mutations (24, 34, 79, 81, 82), and copy number abnormalities (85). As previously discussed, sensitivity for disease detection appears to be higher when using plasma cfDNA rather than cellular DNA (3). Two large studies have explored the role of early response assessment after one to two cycles of therapy in previously untreated DLBCL cases. The first study tracked clonotypic rearrangements in cfDNA for 108 cases, and patients without detectable disease after two cycles had a superior 5-year PFS compared with patients who remained ctDNA positive (80.2% versus 41.7%,

p < 0.0001) (84). The second study used CAPP-Seq for ctDNA detection and demonstrated that patients with a 100-fold (2-log) drop in ctDNA levels after one cycle of therapy [early molecular response (EMR)] or a 2.5-log drop after two cycles [major molecular response (MMR)] had superior outcomes compared with patients without these molecular milestones (24). When these patients were analyzed utilizing the more sensitive PhasED-Seq technique, patients who were ctDNA negative after two cycles of therapy by conventional CAPP-Seq could be further stratified for EFS using PhasED-Seq, confirming the clinical relevance of increased analytic sensitivity (34).

Other studies have confirmed the prognostic significance of posttreatment MRD negativity: A recent publication using a capture sequencing approach in 71 high-risk large-cell lymphoma patients found that failure-free survival was poor in patients with detectable disease at a posttreatment time point (14% of patients, p = 0.00011) (81). While most studies to date have evaluated treatment with cyclophosphamide, hydroxydaunorubicin, vincristine (Oncovin), and prednisone (CHOP)-like chemoimmunotherapy, a study of patients receiving ibrutinib/nivolumab observed improved PFS with a >2-log reduction in ctDNA levels after 28 days of treatment, confirming similar utility of ctDNA kinetics with novel therapeutics (86).

#### Relapsed/Refractory Diffuse Large B Cell Lymphoma

As in pretreatment DLBCL, elevated ctDNA levels before treatment of R/R DLBCL have been demonstrated to be prognostic for shorter PFS [hazard ratio (HR) 0.18, 0.05–0.65] and OS (HR 0.02, 0.06–0.68), with clinical complete response (CR) accompanied by a larger decrease in ctDNA (87). With the emergence of CAR-T therapy as a preferred option in R/R DLBCL, molecular monitoring has also been evaluated in this context. In a study analyzing 72 patients using IgHTS, higher pretreatment ctDNA levels were associated with both progression after axicabtagene ciloleucel infusion and the development of immune-mediated toxicities related to treatment (60). Cellular therapy also presents the opportunity for specialized applications including tracking chimeric T cell–derived cfDNA and emergent CAR-T–specific resistance mechanisms (30).

## Hodgkin Lymphoma

While the low malignant cell fraction of HL tumors has complicated sequencing of traditional biopsies, patients with advanced HL have elevated ctDNA levels comparable with those of other aggressive lymphomas, facilitating noninvasive genotyping (23). This paradoxical finding may be driven by high cellular turnover and frequent polyploidy in Hodgkin/Reed-Sternberg (HRS) cells and presents a unique opportunity for noninvasive molecular techniques.

**Genotyping.** In a cohort of 96 patients with paired biopsy and plasma samples, ctDNA demonstrated an 87.5% sensitivity in reference to tumor genotype as established by microdissection of HRS cells (23). More recently, a larger cohort demonstrated comprehensive characterization of somatic mutations, CNV, and viral DNA, confirming cfDNA as a preferred genotyping analyte in HL (88). Similar performance has been demonstrated in the pediatric HL population, which may particularly benefit from noninvasive approaches (89).

**Response assessment.** Contemporary HL therapy has focused on minimizing therapeutic burden for the majority of patients with excellent responses to therapy, including response-adapted strategies utilizing interim PET/CT (90). However, interim PET/CT is complicated by a relatively high rate of false positivity reflecting inflammation rather than active lymphoma. Although baseline ctDNA levels in classic HL (cHL) demonstrate only moderate correlation with baseline MTV, early ctDNA kinetics after as little as 1 week on treatment are predictive of interim PET response (88). Likewise, patients achieving a 2-log or greater drop in ctDNA with treatment have favorable outcomes in individual cases regardless of interim PET status (23, 88, 91). While these findings should be validated in larger prospective cohorts, they suggest a potentially complementary role for noninvasive response assessment in addition to established interim PET/CT time points.

**Copy number alterations and immunotherapy.** High response rates to checkpoint inhibition immunotherapy in HL are associated with recurrent gains of chromosome 9p24 encompassing the immunoregulatory ligand gene *PD-L1* (92). Noninvasive evaluation of copy number aberrations via LP-WGS in 10 HL patients confirmed recurrent gains of chromosomes 2p and 9p (93). More recently, a larger prospective study evaluating 177 patients with early-stage HL detected CNAs in more than 90% of patients, and targeted capture approaches have also been evaluated for this application (88, 94). As higher-level alterations at this locus appear to be associated with superior PFS, noninvasive assessment could be of clinical utility in HL patients identified as candidates for immunotherapy (92).

## Mantle Cell Lymphoma

Molecular evaluation of MCL is facilitated by the frequent involvement of malignant cells in the peripheral blood or bone marrow. The ease and utility of leukocyte-based assessments has resulted in established clinical strategies reviewed below.

**PCR-based measurable residual disease strategies.** Utilizing qPCR-based methods targeting the stereotyped translocation t(11;14)q(13;32) or clonal IgH rearrangements, detectable disease after induction chemotherapy has been repeatedly associated with shorter PFS with both conventional treatments and intensive regimens (38,95). Notably, this approach paved the way for the incorporation of high-dose cytarabine into the frontline treatment of MCL when higher MRD negativity rates were observed in the R-DHAP (rituximab, dexamethasone, cytarabine, cisplatin)/R-CHOP (rituximab plus CHOP) arm of the MCL Younger trial compared with R-CHOP induction alone (61% versus 26%) (96). The favorable EFS associated with MRD negativity suggests that in MCL, beyond being a prognostic tool, MRD detection could drive preemptive therapeutic strategies such as on-demand anti-CD20 retreatment for MRD+ patients after autologous stem cell transplantation but without biochemical or clinical relapse (97). A summary of major studies is included in **Table 1**.

Other approaches utilizing *SOX11* and *CCND1* expression as MRD markers have demonstrated lower sensitivity that may not reach clinical significance (98). qPCR has therefore remained the gold standard in MCL due to good performance and extensive clinical validation. However, some drawbacks limit the usage of this technique: the complexity of multiple or personalized PCR probes, sensitivity limited to at most  $10^{-5}$ , and a technical design that excludes ~15% of patients with atypical break points (99).

**Emerging sequencing techniques.** Sequencing-based assays offer an appealing alternative due to increased sensitivity and the potential benefit of direct and/or broader genomic characterization (100). IgHTS utilizing the commercial clonoSeq assay demonstrated 82% concordance with qPCR in a cohort of 158 MCL samples, and a head-to-head comparison of these methods in the US-Canadian Intergroup trial E1411 showed better sensitivity for the next-generation sequencing (NGS)-based method under optimal conditions (100, 101). ClonoSeq positivity during treatment has also proven to be an effective predictor of failure of induction therapy (102).

Although other sequencing techniques have been less explored in MCL, a correlative analysis of the AIM (ABT-199 & Ibrutinib in Mantle Cell Lymphoma) study of ibrutinib/venetoclax utilized amplicon-based NGS of tumor-confirmed variants to demonstrate ctDNA dynamics in response

to therapy, as well as plasma LP-WGS for the detection of emergent copy number alterations at progression (103).

**Potential clinical applications.** A significant subset of patients with newly diagnosed MCL can benefit from a delayed initiation of treatment. In retrospective data, patients with low blood involvement, low Ki67, and good performance status can delay treatment initiation for months to several years without jeopardizing their survival (104). While deferred patients are under expectant management, clonal surveillance could help determine treatment initiation timing and modality by detecting shifts in mutational loads, copy number abnormalities, or signals of epigenetic dysregulation. In particular, the emergence of high-risk lesions including *TP53* mutations could be a trigger for treatment (37). The same rationale also applies to indolent/nonnodal MCL patients, as more than 30% of such patients will eventually undergo treatment (105).

#### Follicular Lymphoma

Noninvasive molecular techniques in FL represent a challenge due to relatively low ctDNA concentrations as compared with other lymphoma subtypes (106). In contrast to DLBCL, the tumorderived fraction rarely exceeds 10%, reducing sensitivity (25). For 20 patients evaluated via paired cfDNA and tumor specimens, only 42% of detected variants were concordant, predominantly due to nondetection in plasma (107). However, this challenge is accompanied by several important opportunities.

**Genotyping and targeted therapy selection.** Genomic characterization has an established role in FL, with the m7-FLIPI clinicogenetic risk score used to identify patients at high risk of poor outcomes (108, 109). As FL is an indolent lymphoma requiring longitudinal care, cfDNA surveillance may help spare repeated invasive biopsies and/or surveillance imaging. Total cfDNA levels assessed by ddPCR have been shown to correlate with MTV, with elevated levels associated with shorter PFS (25). The marked spatial heterogeneity seen among FL disease sites suggests that noninvasive genotyping could provide more comprehensive clonal characterization than an individual biopsy, with substantial clonal heterogeneity also seen on plasma immunoglobulin sequencing (17, 110). Given improved responses to tazemetostat in the presence of *EZH2* hot-spot mutations, sensitive genotyping via cfDNA may also improve choice of targeted therapy (111).

**Risk stratification and measurable residual disease detection.** Enabled by the near ubiquity of *BCL2* translocations in FL, PCR-based methods assaying t(14;18) in peripheral blood or bone marrow have been explored extensively; a summary of major studies is included in **Table 1**. MRD negativity at a threshold of  $\leq 10^{-4}$  has been shown to be independently predictive of recurrence risk with contemporary therapies (112). However, recent attempts to risk stratify maintenance therapy by PCR-based MRD detection failed to provide a benefit, with decreased PFS among even MRD-negative patients (113). Clinically impactful MRD assessment therefore likely requires more sensitive detection than standard nested or RT-PCR techniques.

Sequencing-based MRD has been evaluated only in preliminary cohorts: For example, utilizing an amplicon-based panel in 13 FL patients receiving chemotherapy and rituximab maintenance, inferior PFS was seen in patients with detectable MRD at the end of treatment, and lower MRD concentrations were seen in patients achieving radiographic CR (114). The relative sensitivity and clinical utility of these techniques in relation to established PCR methods is yet to be established.

**Early disease progression and transformation.** The histologic transformation of FL to DLBCL presents a clinical challenge, as transformed disease may be radiographically ambiguous or not amenable to biopsy. Molecular features associated with transformation include higher

ctDNA levels and emergent somatic variants, and a logistic regression classifier incorporating these features displayed 83% sensitivity and 89% specificity for transformation in a small cohort comparing FL, transformed FL, and R/R DLBCL patients (15). Methylation profiling has also been explored in discriminating FL from DLBCL, and a four-gene classifier displayed an area under the curve of 88.5% in a small testing cohort (32). Both approaches warrant further exploration in independent cohorts.

## T Cell Lymphomas

While this review focuses primarily on B cell lymphomas, similar ctDNA techniques have been evaluated in T cell subtypes. Here we review clinical data including the established role of MRD assessment in anaplastic lymphoma kinase (ALK)-positive disease.

**Genotyping.** In angioimmunoblastic T cell lymphoma (AITL), a stereotyped mutational repertoire including *RHOA* G17V and recurrent alterations in *TET2*, *DNMT3A*, and *IDH2* has enabled focused noninvasive genotyping. Targeted amplicon sequencing of these four genes in 14 patients [9 AITL, 5 peripheral T cell lymphoma, not otherwise specified (PTCL-NOS)] detected tumorconfirmed variants in 83% of cfDNA specimens (115). A broader hybrid capture approach assaying 41 genes was employed to assess noninvasive genotyping and treatment response dynamics in extranodal natural killer/T cell lymphoma (ENKTL), revealing *KMT2D* mutations as a negative prognostic marker (116).

**Measurable residual disease assessment.** In ALK-positive ALCL, the *NPM1-ALK* fusion transcript allows specific disease detection, with positive RT-qPCR in peripheral blood or bone marrow at diagnosis reported as a poor prognostic factor (117). In the posttreatment setting, Kalinova et al. (118) reported that five out of nine relapses were preceded or accompanied by increased bone marrow *NPM1-ALK* levels by RT-qPCR. The predictive power of PCR-based methods has been further validated in independent cohorts (119). As with other translocation-based techniques, a limitation is the requirement for primers encompassing a patient's specific translocation site. As an alternative to fusion-specific PCR, Quelen et al. (120) developed a 3'*ALK* universal amplification protocol that enables detection of diverse *ALK* fusions with 100% concordance with standard PCR.

Analogous to B cell IgHTS, T cell receptor (TCR) sequencing of the CDR3 regions of TCR $\beta$ /TCR $\gamma$  genes can enable surveillance when primary tumor tissue is available. This approach was initially utilized to evaluate MRD in 10 patients with cutaneous T cell lymphoma after allogeneic hematopoietic cell transplantation, with positivity associated with clinical recurrence (121). In a retrospective analysis of pretreatment PTCL patients receiving frontline EPOCH-based chemotherapy, 9 patients (38%) cleared ctDNA after two cycles of therapy, while 11 patients (46%) had detectable ctDNA at the end of treatment. Although patients with detectable ctDNA showed a trend toward worse survival, specificity was imperfect: Notably, 2 patients with persistently detectable ctDNA remained in remission with 10 years of follow-up (122). Similar performance was seen by Mehta-Shah et al. (123), who evaluated 41 PTCL patients treated with CHOP-based therapies. Among 24 MRD+ subjects, 63% remained in radiographic remission, with no significant difference in OS or PFS seen by MRD status at a median follow-up of 20.7 months (123). Alternative MRD techniques may therefore be more suitable for PTCL.

## **Central Nervous System Lymphomas**

Noninvasive evaluation of CNS lymphomas offers a clear appeal due to the technical challenges of brain biopsies. However, detection of ctDNA in peripheral blood is challenging, potentially due

to lower total tumor volume and the effect of the blood-brain barrier. Here we review emerging evidence for this exciting clinical application.

**Noninvasive detection and response assessment.** Several technical strategies have been applied to the low ctDNA concentrations typical in PCNSL. An amplicon-based approach with an empiric LOD of 0.5% allelic fraction (AF) detected tumor-concordant variants in only 32% (8/25) of a cohort of PCNSL patients (124). By contrast, a narrower but more sensitive approach utilizing ddPCR for the *MYD88* L265P hot-spot mutation was positive in 57% (8/14) of patients with brain biopsies carrying this variant, suggesting that highly sensitive assays are required for peripheral blood detection (125).

The evaluation of CSF-derived rather than peripheral blood cfDNA may increase sensitivity. In two cohorts, *MYD88* L265P was detected by ddPCR in 73% (8/11) and 71% (10/14) of CSF specimens (126, 127). Although the frequent incidence of *MYD88* L265P in PCNSL makes this a feasible single-gene biomarker, increasing assayed mutations is likely to increase sensitivity. Utilizing variant-specific ddPCR for tumor-derived mutations, ctDNA was detected in the CSF of 100% of 6 patients with CNS-restricted disease but only 33% (2/6) of paired plasma samples, with higher concentrations seen in CSF (128).

Most recently, a larger study assessing 92 pretreatment CNS lymphoma (CNSL) patients via sensitive targeted capture sequencing detected ctDNA with reference to tumor-confirmed variants in 78% of plasma and 100% of CSF specimens, with median AF of 0.01% in plasma and 0.62% in CSF (median CSF volume: 2.5 mL) (21). A noninvasive classifier was developed via comparison with 44 patients with nonlymphoma brain cancers or inflammatory CNS disease, then validated in an independent cohort of 207 specimens from CNSL and non-CNSL patients, demonstrating sensitivity for PCNSL detection of 21% for plasma and 57% for CSF at 100% specificity (21). Patients with detectable ctDNA during induction chemoimmunotherapy experienced decreased PFS/OS (21). Clearance of ctDNA has also been associated with response to ibrutinib-based combination therapy, suggesting a general role for molecular response assessment in CNS lymphoma (129).

Screening for secondary central nervous system involvement. Secondary CNS involvement of aggressive systemic lymphomas is a feared complication evaluated at staging via CSF cytology and flow cytometry with limited sensitivity. ctDNA detection may help stratify patients at highest risk of CNS relapse. An exploratory study utilizing IgHTS detected tumor-derived clonotypes in the CSF of 42% (8/19) of a cohort of high-risk patients without known CNS involvement at diagnosis (130). At a median follow-up of 11 months, 2 ctDNA-positive patients experienced CNS relapse despite negative staging imaging and conventional CSF studies, while no ctDNA-negative patients developed CNS involvement (130). Given emerging evidence for the limited efficacy of traditional methotrexate-based CNS prophylaxis in DLBCL, such an approach could help identify the highest-risk patients for CNS-directed interventions.

#### Virus-Associated Lymphomas

In most healthy individuals, EBV-specific cytotoxic T lymphocytes prevent viral reactivation by recognizing EBV antigens on expanding infected B cells. Systemic reactivation of EBV, particularly in immunocompromised states associated with HIV or solid organ transplantation, has been associated with several lymphoma subtypes, including Burkitt lymphoma (BL), HL, ENKTL, and posttransplant lymphoproliferative disorder (PTLD) (51). For many EBV-associated malignancies, monitoring of viral loads in peripheral blood can play an important role in diagnosis and management (52).

**Technical approach and choice of analyte.** The standard method for clinical EBV load measurement is RT-PCR, a rapid, sensitive, and inexpensive technique (52). While RT-PCR has historically been hindered by lack of standardization across institutions, the 2011 World Health Organization international standard for EBV improved harmonization across institutions, facilitating management guidelines for EBV-associated lymphomas (131).

The circulating burden of EBV-infected tumor cells varies across lymphoma subtypes, impacting the relative utility of measuring EBV in plasma versus whole blood or PBMCs. Most EBV-infected cHL and ENKTL tumor cells are thought to remain localized, shedding cell-free EBV DNA, while PTLD may involve circulating EBV+ cells (52, 132). However, several studies have concluded that plasma specimens are overall superior to cellular specimens in sensitivity and specificity across a range of EBV+ diseases (133, 134).

**Surveillance of Epstein-Barr virus–positive lymphomas.** Despite these limitations, EBV monitoring has demonstrated clinical utility in certain contexts including PTLD (135). While routine EBV surveillance is not recommended in unselected adult solid organ transplant recipients, guidelines suggest prospective monitoring of viral loads in high-risk patients, coupled with interventions including reduction in immunosuppression to reconstitute the EBV-directed immune response or rituximab targeting CD20<sup>+</sup> B cells (136, 137). Despite a lack of randomized controlled trials, some evidence suggests that these preemptive strategies have reduced the incidence of PTLD compared with historical cohorts (135).

In cHL, plasma EBV cfDNA is highly correlated to tumor EBV status inferred by EBVencoded small RNA in situ hybridization (138). In addition, plasma EBV levels have been shown to have prognostic significance both pretreatment and at 6-month follow-up in advanced HL, with elevated plasma EBV load being associated with worse failure-free survival (139). Sequencing techniques evaluating lymphoma ctDNA have also been utilized for simultaneous EBV detection in cHL patients with strong concordance with tumor EBV status (88).

ENKTL is a rare subtype of non-Hodgkin lymphoma that commonly involves the nasal cavity and has high prevalence in East Asia and South America. Like endemic BL, ENKTL has nearuniversal association with EBV infection, and circulating viral loads are useful in making a diagnosis (140). Several studies have shown that the EBV-DNA load correlates with clinical stage and can be used to monitor disease progression and predict prognosis (141, 142).

**Novel sequencing-based approaches.** Sequencing-based techniques may offer additional prognostic benefit. In nasopharyngeal carcinoma (NPC), an epithelial neoplasm with 97% EBV positivity, a prospective study of 20,000 high-risk participants demonstrated that determination of plasma EBV load by RT-PCR is useful in screening for early disease (143). Furthermore, the addition of NGS-based EBV cfDNA fragment size and methylation metrics improved the positive predictive value of early NPC detection to 35.1%, compared with 11% using RT-PCR alone (144, 145). Another advantage of EBV cfDNA sequencing is the noninvasive detection of high-risk viral variants, which may increase lymphoma risk (146).

#### **CONCLUSIONS AND DISCUSSION**

Liquid biopsies are promising tools in many aspects of clinical lymphoma care. Studies to date have been limited by heterogenous methodologies, relatively small cohort sizes, and the ongoing rapid development of novel analytic techniques. An overview of selected published studies, as discussed above by subtype, is summarized in **Table 3**.

			DOI	10.1126/scitranslmed.3007420		10.1182/blood-2015-03-635169								10.1016/s1470-2045(15)70106-3						10.1016/S2352-3026(14)00039-8		10.3109/10428194.2016.1139703				10.1182/blood-2015-09-672030			10.1111/bjh.14311			10.1182/blood-2016-05-719641			
			Intervention	Allogeneic HSCT		R-CHOP-like								$EPOCH \pm R$						ABVD		GA101-ACVBP				Multiple			Allogeneic HSCT			R-CHOP			
		Monitoring time	points	Pretreatment,	posttreatment	Pretreatment;	39 patients	On-treatment;	123 time points	Surveillance;	102 time points	Progression;	47 patients	Pretreatment;	126 patients	On-treatment;	108 time points	Posttreatment;	107 patients	Pretreatment,	posttreatment	Pretreatment;	14 patients	Posttreatment;	1 patient	EBV+ disease;	105 patients	Pre/posttreatment	Pretreatment,	posttreatment,	surveillance	Pretreatment;	50 patients	Posttreatment;	30 patients
	Total	patient	count	10		75								126						10		14				2,146			68			50			
•		Molecular	technique	IgHTS		IgHTS								IgHTS						LP-WGS		ddPCR				qPCR			IgHTS			Targeted capture			
			Subtype	CTCL		DLBCL								DLBCL						cHL		DLBCL				PTLD			Multiple			DLBCL			
			Year	2013		2015								2015						2015		2016				2016			2016			2017			
			Study	Weng et al. (121)		Kurtz et al. (3)								Roschewski et al.	(84)					Vandenberghe et al.	(93)	Camus et al. (80)				Kanakry et al. (133)			Herrera et al. (155)			Rossi et al. (79)			

(Continued)

Table 3 Selected contemporary studies in the molecular monitoring of lymphoma

				Total			
			Molecular	patient	Monitoring time		
Study	Year	Subtype	technique	count	points	Intervention	DOI
Sakata-Yanagimoto et al. (115)	2017	AITL	Amplicon	14	Pretreatment	NA	10.1007/s00277-017-3038-2
Fontanilles et al. (124)	2017	PCNSL	Amplicon	24	Pretreatment	NA	10.18632/oncotarget.18325
Sarkozy et al. (110)	2017	FL	IgHTS	34	Pretreatment	R-CHOP	10.18632/oncotarget.14448
Bohers et al. (82)	2018	DLBCL	Targeted capture	30	Pretreatment; 30 patients	R-CHOP-like	10.1038/s41408-018-0111-6
					Posttreatment; 20 patients		
Suehara et al. (20)	2018	Intravascular LBCL	Amplicon	6	Pretreatment	NA	10.3324/haematol.2017.178830
Kurtz et al. (24)	2018	DLBCL	Targeted capture	217	Pretreatment, on-treatment	R-CHOP-like	10.1200/JCO.2018.78.5246
Spina et al. (23)	2018	cHL	Targeted capture	112	Pretreatment;	Multiple	10.1182/blood-2017-11-812073
					80 patients		
					Posttreatment;		
					24 patients		
					Refractory;		
					32 patients		
Hattori et al. (125)	2018	PCNSL	ddPCR,	14	Pretreatment;	EORTC, MPV	10.11111/cas.13450
			amplicon		14 patients		
					Posttreatment;		
					5 patients		
Delfau-Larue et al.	2018	FL	ddPCR	133	Pretreatment	NA	10.1182/bloodadvances.2017015164
(25)					plasma; 61 patients PB: 68 natients		
Hiemcke-Jiwa et al.	2019	PCNSL	ddPCR	38	Pretreatment;	Multiple	10.1111/bjh.15674
(126)					26 patients		
					On-treatment;		
					12 time points		
Rimelen et al. (127)	2019	PCNSL	ddPCR	11	Pretreatment;	NA	10.1186/s40478-019-0692-8
					9 patients		
					Relapse; 5 patients		
							(Continued)

 Table 3 (Continued)

Table 3 (Continued)							
				Total			
			Molecular	patient	Monitoring time		
Study	Year	Subtype	technique	count	points	Intervention	DOI
Raman et al. (85)	2020	DLBCL/HL	LP-WGS	123	Pretreatment;	Multiple	10.3324/haematol.2020.268813
					123 patients		
					On-treatment;		
					31 time points		
Li et al. (116)	2020	ENKTL	Targeted capture	65	Pretreatment;	CHOP-like	10.1186/s40364-020-00205-4
					65 patients		
					On-treatment;		
_					10 time points		
Qi et al. (156)	2021	ENKTL	Targeted capture	47	Pretreatment	NA	10.1182/bloodadvances.2020001637
Alig et al. (22)	2021	DLBCL	Targeted capture	267	Pretreatment	R-CHOP-like	10.1200/JCO.20.02573
Meriranta et al. (81)	2021	DLBCL	Targeted capture,	101	Pretreatment;	R-CHOP14 with	10.1182/blood.2021012852
			WGS		100 patients	CNS prophylaxis	
					On-treatment;		
					58 time points		
					Posttreatment;		
					71 patients		
Rivas-Delgado et al. (83)	2021	DLBCL	Targeted capture	42	Pretreatment	NA	10.1158/1078-0432.Ccr-20-2558
Chiu et al. (32)	2021	DLBCL/FL	Methylation	73	Pretreatment	NA	10.1038/s41525-021-00179-8
Frank et al. (60)	2021	DLBCL	IgHTS	72	Pretreatment,	CAR-T/axi-cel	10.1200/jco.21.00377
					on-treatment,		
					surveillance		
Miljkovic et al. (122)	2021	PTCL	IgHTS	45	Pretreatment;	DA-EPOCH	10.1182/bloodadvances.2020003679
					45 patients		
					On-treatment;		
					24 time points		
					Posttreatment;		
					24 patients		
Bobillo et al. (128)	2021	PCNSL	ddPCR	19	Pretreatment,	IT methotrexate,	10.3324/haematol.2019.241208
					on-treatment	R-CHOP, BAM-R	
Buedts et al. (94)	2021	cHL	LP-WGS	177	Pretreatment;	ABVD, BV-AVD	10.1182/bloodadvances.2020003039
					177 patients		
					On-treatment;		
					136 time points		
							(Continued)

			DOI	10.1016/j.medj.2021.09.002				10.3324/haematol.2019.237719				10.1186/s13148-020-00973-8		10.1182/blood-2021-149644		10.1182/bloodadvances.2021004528		10.1182/bloodadvances.2021006397						10.1182/bloodadvances.2021006415		
			Intervention	BEACOPP,	BRECADD,	nivolumab/AVD		ABVD, BEACOPP				R-CHOP		Multiple		Ibrutinib, nivolumab		DA-EPOCH-R with	bortezomib					Polatuzumab/BR	versus BR	
		Monitoring time	points	Pretreatment;	121 patients	Posttreatment;	77 patients	Pretreatment;	60 patients	Interim (post-C2);	54 patients	Pretreatment;	86 patients	Pretreatment,	on-treatment	Pretreatment,	on-treatment	Pretreatment;	45 patients	On-treatment;	33 time points	Surveillance;	16 time points	Pretreatment,	posttreatment	
, 	Total	patient	count	121				60				86		92		67		53						33		
		Molecular	technique	Targeted capture				Amplicon				Methylation		Targeted capture		Targeted capture		IgHTS						Targeted capture		
			Subtype	cHL				cHL				DLBCL		CNSL		DLBCL, FL,	RS	MCL						DLBCL		
			Year	2021				2021				2021		2021		2021		2022						2022		
			Study	Sobesky et al. (88)				Camus et al. (91)				Chen et al. (26)		Mutter et al. (21)		Bruscaggin et al. (86)		Lakhotia et al. (102)						Herrera et al. (87)		

Table 3 (Continued)

intrathecal; LBCL, large B cell lymphoma; LP-WGS, low-pass whole-genome sequencing; MCL, mantle cell lymphoma; MPV, methotrexate, procarbazine, and vincristine; NA, not applicable; dacarbazine, and dexamethasone; BV-AVD, brentuximab-vedotin and adriamycin, vinblastine, and dacarbazine; C2, second chemotherapy cycle; CAR-T, chimeric antigen receptor T cell; cHL, doxorubicin, bleomycin, vinblastine, and dacarbazine; BEACOPP, bleomycin, etoposide, doxorubicin hydrochloride (Adriamycin), cyclophosphamide, vincristine (Oncovin), procarbazine, and ymphoma; DA, dose-adjusted; ddPCR, droplet digital polymerase chain reaction; DLBCL, diffuse large B cell lymphoma; EBV+, Epstein-Barr virus-positive; ENKTL, extranodal natural classic Hodgkin lymphoma; CHOP, cyclophosphamide, hydroxydaunorubicin, vincristine (Oncovin), and prednisone; CNSL, central nervous system lymphoma; CTCL, cutaneous T cell PB, peripheral blood; PCNSL, primary central nervous system lymphoma; PTCL, peripheral T cell lymphoma; PTLD, posttransplant lymphoproliferative disorder; qPCR, quantitative orednisone; BAM-R, carmustine, cytarabine, methotrexate, and rituximab; BR, bendamustine-rituximab; BRECADD, brentuximab-vedotin, etoposide, cyclophosphamide, doxorubicin, hydroxydaunorubicin; FL, follicular lymphoma; HL, Hodgkin lymphoma; HSCT; hematopoietic stem cell transplantation; IgHTS, immunoglobulin high-throughput sequencing; IT, Abbreviations: ACVBP, doxorubicin, cyclophosphamide, vindesine, bleomycin, and prednisone; axi-cel, axicabtagene ciloleucel; AITL, angioimmunoblastic T cell lymphoma; ABVD, killer/T cell lymphoma; EORTC, European Organisation for Research and Treatment of Cancer; EPOCH, etoposide, prednisone, vincristine (Oncovin), cyclophosphamide, and polymerase chain reaction; R, rituximab, R-CHOP, rituximab plus CHOP; RS, Richter's syndrome; WGS, whole-genome sequencing.

#### **Considerations for Prospective Studies**

Seizing the opportunity for clinical progress will require several important next steps. When using decentralized testing in laboratories across the world, two major issues in establishing cfDNA as a replicable biomarker across studies are the standardization of preanalytic workflows and harmonization of clinical response metrics. A working statement regarding these clinical priorities was formulated at the 15-ICML workshop on ctDNA, the first international consensus meeting of lymphoma ctDNA experts (70).

Logistical and analytical considerations. Steps in preanalytic standardization include prompt plasma processing of blood specimens and fluorometric quality control of extracted DNA to detect high-molecular-weight DNA contamination and ensure adequate cfDNA input of >5,000 hGE (70). Several metrics have been proposed for ctDNA quantification, but their harmonization could improve broader utility and adoption for lymphoma monitoring. The fraction of tumor-derived to total cfDNA is frequently estimated by the mean AF of tumor-confirmed variants. However, response assessment via relative measures can be deceptive, as total cfDNA levels demonstrate large shifts in response to exercise, granulocyte colony-stimulating factor growth factors, or other systemic stressors. Calculating the absolute plasma concentration of tumor-derived molecules (in hGE or other mass-based metrics per milliliter of plasma) can yield a more consistent quantification. Prespecified minimum variant counts and sequencing depths may also help standardize tumor-informed prospective investigations.

**Response-directed therapy and trial design considerations.** In contrast to hematologic malignancies including chronic myeloid leukemia, molecular response and MRD levels are not yet routinely assayed biomarkers in lymphomas. cfDNA levels typically change rapidly during treatment, and in patients receiving treatment for aggressive B cell lymphomas, a 2-log reduction after one cycle of chemoimmunotherapy (EMR) or a 2.5-log reduction after two cycles (MMR) was shown to be predictive of both EFS and OS (24). This threshold has subsequently been validated in cHL and should be evaluated in future studies of other lymphoma subtypes (23). Importantly, additional validation in prospective cohorts will be required to demonstrate either on-treatment kinetics or posttreatment MRD status as a robust surrogate for survival, as well as to utilize these measures as clinical trial end points. Potential trial design strategies are summarized graphically in **Figure 3**. While optimal time points for molecular monitoring are not conclusively established, current recommendations suggest evaluation pretreatment, after two cycles of therapy, at the end of treatment, and every 3–6 months during surveillance or maintenance (70).

In considering potential interventional strategies based on molecular data, the analytic lead time required for sample processing and analysis becomes an important consideration. Typical processing times currently range from 1–3 weeks, which may be acceptable for posttreatment interventions (e.g., maintenance therapy for MRD-positive patients) but potentially challenging for pretreatment evaluation of patients with active disease. One trial design that addresses this concern is a prephase or window approach in which patients receive a first intervention while molecular data is processed.

#### **Opportunities for Technical and Clinical Development**

In addition to the mutation- and translocation-based analyses detailed above, several emerging techniques target tumor-derived epigenetic signals including cfDNA methylation and fragmentation profiles (16, 50). Alternative nucleic acid sources including RNA are also under development for cancer detection—however, preliminary studies evaluating the tissue of origin of circulating



#### Figure 3

A schematic overview of potential molecular strategies for lymphoma clinical trial design, grouped by time point of ctDNA assessment. Abbreviations: ctDNA, circulating tumor DNA; MMR, major molecular response; MRD, measurable residual disease.

RNA molecules have estimated that the majority are of hematopoietic origin, and the ability to detect blood cancer signals against this background is incompletely characterized (56). An ultimate limiting factor in the sensitivity of cfDNA is the total number of tumor-derived molecules in an individual plasma specimen. As collection of larger blood volumes is unlikely to be feasible in routine clinical practice, several approaches have been developed to enrich tumor-derived nucleic acids. These include magnetic bead sorting or microfluidic approaches leveraging the shorter fragment length of tumor-derived molecules.

To date, most lymphoma patients assessed via molecular monitoring have undergone chemoimmunotherapy for aggressive disease. Indolent subtypes such as FL, marginal zone lymphoma, and small lymphocytic lymphoma remain understudied, including the critical clinical issues of early progression and histologic transformation. Likewise, response assessment to novel targeted therapeutics, immunotherapies, and cellular therapies will require additional validation. Emerging approaches to noninvasively assess the immune microenvironment alongside tumor-derived molecules may prove of particular interest in this context.

#### Conclusions

Molecular monitoring of lymphomas promises to augment clinical and radiographic response assessment and disease surveillance, with potential applications in risk stratification and targeted therapeutics. While fundamental principles are now established, several important technical and logistical hurdles remain for the broader clinical application of these techniques. These include the standardization of preanalytical and response assessment workflows when using decentralized ctDNA testing. Separately, additional validation of ctDNA measurements as surrogate biomarkers for survival in prospective clinical cohorts is needed, allowing utilization as a clinical trial end point. One opportunity for near-term development is the evaluation of molecular surveillance techniques in studies including R/R disease or high-risk subtypes such as double-hit lymphomas or ABC-DLBCL. Here, higher expected event rates may facilitate the more rapid refinement of technical sensitivity and clinical response criteria while serving patients with critical unmet clinical needs. Early detection of inadequate response or relapse in high-risk populations can serve as an important first step in translating the broader promise of molecular monitoring to improve outcomes for lymphoma patients.

## SUMMARY POINTS

- 1. Cell-free DNA (cfDNA) and its malignant fraction, circulating tumor DNA (ctDNA), have important roles for the genotyping and monitoring of diverse cancers.
- 2. Lymphoma is well suited for molecular monitoring due to high ctDNA concentrations especially in aggressive disease, high somatic mutational burden, and the existence of stereotyped variants enabling focused interrogation of recurrently altered regions targeted by somatic hypermutation.
- 3. Emerging clinical applications for cfDNA in lymphoma include pretreatment genotyping and risk stratification, response assessment during therapy, and evaluation for measurable residual disease.
- 4. Potential future applications include molecular subtyping and classification, targeted therapy selection, detection of resistance mechanisms, and the surveillance of cellular therapies.

## **FUTURE ISSUES**

- 1. Clinical circulating tumor DNA (ctDNA) studies in lymphoma to date have employed heterogenous molecular biology and bioinformatics workflows. Where centralized testing is unavailable, standardization of sample processing and harmonization of analysis and response criteria will allow improved comparison across clinical cohorts.
- 2. While treatment-related changes in ctDNA levels are strongly associated with clinical response and outcomes, additional prospective validations will more firmly establish ctDNA as a surrogate biomarker for progression-free and overall survival.
- 3. Given widespread epigenetic dysregulation in lymphomas, emerging epigenetic markers including ctDNA methylation and fragmentation profiles may provide additional information for subtype characterization, risk stratification, and response assessment.

## **DISCLOSURE STATEMENT**

A.A.A. reports ownership interest in CiberMed, FortySeven Inc., and Foresight Diagnostics, patent filings related to cancer biomarkers, research funding from Bristol Myers Squibb and Celgene, and paid consultancies from Genentech, Karyopharm, Roche, Chugai, Gilead, and Celgene.

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